



11/Conversion
Paper
10/8/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Okada *et al.*

Appl. No. 09/717,115

Filed: November 22, 2000

For: **Axle Driving Apparatus**

Confirmation No.: 5060

Art Unit: 3611

Examiner: DePumpo, Daniel G.

Atty. Docket: 0666.023000O

**Request To Correct Inventorship In A Nonprovisional Patent
Application Under 37 C.F.R. 1.48(a)(1)**

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Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants request that the United States Patent and Trademark Office correct inventorship in the above-captioned nonprovisional patent application for which an oath or declaration has already been filed. Specifically Applicants request that the Office change the inventorship in this application from **Hideaki Okada** and **Shusuke Nemoto** to **Hideaki Okada, Shusuke Nemoto, and Ryota Ohashi**.

This request is accompanied by:

- (1) A statement from each person to be added as an inventor, and/or each person to be deleted as an inventor, stating that the error in inventorship was made without deceptive intent on his or her part;
- (2) An oath or declaration by the actual inventor or inventors as required by 37 C.F.R. § 1.63 or as permitted by 37 C.F.R. §§ 1.42, 1.43 or 1.47;

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Denied.
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- (4) A Certificate under 37 C.F.R. § 3.73(b) executed by the assignee named in part (3); and
- (5) The processing fee as set forth under 37 C.F.R. § 1.17(i), in the amount of \$ 130.00.

Applicants hereby authorize the U.S. Patent and Trademark Office to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

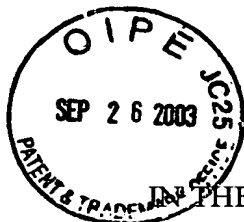
STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Albert L. Ferro
Attorney for Applicants
Registration No. 44,679

Date: September 26, 2003

1100 New York Avenue, N.W.
Washington, D.C. 20005-3934
(202) 371-2600



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**Statement under 37 C.F.R. § 1.48(a)(2) of A Person
To Be Added As An Inventor**

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

I am a coinventor of the invention of one or more claims in the above-captioned nonprovisional application for which an oath or declaration under 37 C.F.R. § 1.63 has been filed erroneously not naming me as an inventor. The inventorship error occurred without deceptive intent on my part. I have read and understand 37 C.F.R. § 10.18(b).

Signed: _____

Ryota Ohashi
Ryota Ohashi

Date: 18 Aug '03

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Atty. Docket: 0666.023000O

Consent of the Assignee under 37 C.F.R. § 1.48(a)(5)

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Kanzaki Kokyukoki Mfg. Co., Ltd., assignee of the entire right, title and interest of the originally named inventors of the above-captioned application, consents to the change in inventorship from **Hideaki Okada** and **Shusuke Nemoto** to **Hideaki Okada**, **Shusuke Nemoto**, and **Ryota Ohashi**. I have read and understand 37 C.F.R. § 10.18(b).

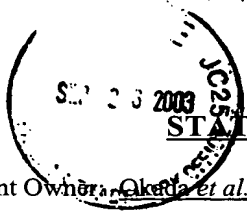
For: Kanzaki Kokyukoki Mfg. Co., Ltd.

Signature: *Yasuyuki Yamaoka*

Type or Print Name: Yasuyuki Yamaoka

Title: President and Chief Executive Officer

Date: September 12, 2003



STATEMENT UNDER 37 C.F.R. § 3.73(b)

Applicant/Patent Owner: Okada et al.

Application No./Patent No.: 09/717,115 Filed/Issue Date: November 22, 2000

Entitled: Axle Driving Apparatus

Kanzaki Kokyukoki Mfg. Co., Ltd., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest, or

2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. ☒ An Assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel 5119, Frame 0656, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s) of the patent application/patent identified above to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE:] A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

September 12, 2003
Date

Telephone number

Yasuyuki Yamaoka

Typed or printed name

Signature

President and Chief Executive Officer
Title

This collection of information is required by 37 CFR 1.3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.